

Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: August 4, 2000

ATTY DOCKET N.  
2000\_1081A

SERIAL N.  
NEW

APPLICANT  
Osamu SAKANAKA et al.

FILING DATE  
August 4, 2000

GROUP  
1625

**COPY**

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATI N YES NO	
BWL	AJ	7-233165	9/95	Japan			Abstract	
	AK	44-235	1/69	Japan				X
BWL	AL	7-198489	8/95	Japan			Abstract	
	AM							
	AN							

OTHER DOCUMENT(S) (including Author, Title, Date, Pertinent Pages, Etc.)

BWL	AO	Shimano et al., "Total Synthesis of the Antifungal Dilactones UK-2A and UK-3A: The Determination of the relative and Absolute Configurations, Analog Synthesis and Antifungal Activities.", Tetrahedron 54 (1998) 12745-12774
	AP	
	AQ	

EXAMINER *[Signature]* DATE CONSIDERED 8/15/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Confirmation No. 8304  
Osamu SAKANAKA et al. : Docket No. 2000-1081A  
Serial No. 09/601,655 : Group Art Unit 1625  
Filed August 4, 2000 : Examiner Binta M. Robinson

NOVEL ANTIFUNGAL COMPOUND AND  
PROCESS FOR PRODUCING THE SAME

FOURTH REQUEST FOR PTO-1449 FORM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

Sir:

On August 4, 2000 Applicants filed an IDS, citing the references identified in the International Search Report. The Notification of Acceptance mailed August 23, 2000 indicates that the PTO has received copies of the International Search Report and the references cited therein. However, a copy of the Examiner-initialed PTO-1449 form was not attached to the Office Action of February 26, 2001 (or the Office Action of November 6, 2000, or the Office Action of September 24, 2003). Such an Examiner-initialed PTO-1449 form is requested, showing that the Examiner has considered the references submitted with the IDS.

A copy of the previously submitted PTO-1449 Form is enclosed for the Examiner's convenience.

Respectfully submitted,

Osamu SAKANAKA et al.

COPY

By:



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February 24, 2004